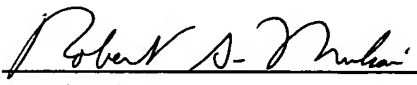


Substitute for Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>	ATTORNEY'S DOCKET NUMBER 009682-145 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/524890</b>
INTERNATIONAL APPLICATION NO. PCT/JP2003/011383	INTERNATIONAL FILING DATE 05 September 2003	PRIORITY DATE CLAIMED 06 September 2002	
TITLE OF INVENTION  CAPLESS WRITING INSTRUMENT			
APPLICANT(S) FOR DO/EO/US Yukinori SAWA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission to items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  Items 11 to 21 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <u>Application Data Sheet, General Authorization for Petitions for Extension of Time and Payment of Fees, Submission of Article 19 Amendments, Forms PCT/IB/304 and 308.</u> _____ _____ _____ _____			

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/524890</b>		INTERNATIONAL APPLICATION NO. PCT/JP2003/011383		ATTORNEY'S DOCKET NUMBER 009682-145	
21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>MITSUBISHI PENCIL CO., LTD., Tokyo, Japan.</u> _____ _____ _____ _____ _____				<b>CALCULATIONS PTO USE ONLY</b>	
22. <input checked="" type="checkbox"/> The following fees are submitted:					
Basic Filing Fee (1631)				\$ 300.00	
Surcharge of <b>\$130.00 (1617)</b> for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	6	-20 = 0	x \$50.00 (1615)	\$ 0.00	
Independent Claims	2	-3 = 0	x \$200.00 (1614)	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00 (1616)		
Examination Fee			+ \$200.00 (1633)	\$ 200.00	
Search Fee			+ \$500.00 (1632)	\$ 500.00	
App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)					
TOTAL OF ABOVE CALCULATIONS				\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+ \$ 0.00	
SUBTOTAL =				\$ 1,000.00	
Processing fee of <b>\$130.00 (1618)</b> for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (8021)</b> per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1,040.00	
				Amount to be refunded :	
				charged :	
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 1,040.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Charge _____ to credit card. Form PTO-2038 is attached.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:  Burns, Doane, Swecker & Mathis, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620					
				 SIGNATURE	
				Robert G. Mukai NAME	
				<u>28,531</u> REGISTRATION NO.	<u>February 16, 2005</u> DATE

Attorney Docket No. Patent  
009682-145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
Yukinori SAWA  
Application No.:  
Filing Date: February 16, 2005  
Title: CAPLESS WRITING INSTRUMENT

Group Art Unit:  
Examiner:  
Confirmation No.:

GENERAL AUTHORIZATION FOR PETITIONS  
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By Robert G. Mukai  
Robert G. Mukai  
Registration No. 28,531

Date: February 16, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	MAIL STOP NEW APPLICATION
Yukinori SAWA	)	
Application No.:	)	Group Art Unit:
Filed: February 16, 2005	)	Examiner:
For: CAPLESS WRITING INSTRUMENT	)	Confirmation No.:

## SUBMISSION OF ARTICLE 19 AMENDMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is an English translation of the Article 19 amendments made in parent International Application No. PCT/JP03/11383.

Please replace pages 24-26 of the English translation of the International Application with the translated Article 19 claims bearing page numbers 24-26.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: February 16, 2005

By: Robert G. Mukai  
Robert G. Mukai  
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